



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 072982/0219

#7  
BT  
1-13-04

Applicant: Yushi NIWA  
Title: TRAVEL INFORMATION DISTRIBUTION SYSTEM  
Serial No.: 09/832,926  
Filed: April 12, 2001  
Examiner: Unknown  
Art Unit: 2166

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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Sir:

Submitted herewith on Form PTO-SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is believed to be filed in accordance with 37 C.F.R. 1.97(b), prior to the mailing date of a first Office Action on the merits (first scenario). If that is not the case, such as in a second scenario in which a first Office Action on the merits has been mailed before the filing of the instant Information Disclosure Statement, then either a certification or fee is required, and a certification is provided below. If neither of the first or second scenarios is the case, such as if a final Office Action or a notice of allowance has been mailed by the PTO (third scenario), then both a certification and fee are required, and in that case a certification is provided below and also the PTO is authorized to obtain the necessary fee to have the instant IDS considered, from Foley & Lardner Deposit Account #19-0741.

**CERTIFICATION**

The undersigned hereby certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

**RELEVANCE OF EACH DOCUMENT**

A translation of a portion of a Japanese Office Action that issued September 24, 2003 with respect to a counterpart Japanese patent application is provided below.

"Claims: 1-15

Cited Literature:

1. Japanese Unexamined Patent Application Publication H11-143358
2. Harushi Tsukada, Keiko Kumaya, Makoto Isaji and Kazuo Tanaka: "Introduction to the MOCHA mobile information distribution mechanism," Information Processing Society Research Report, Information Processing Society, 29 May 1998, Vol. 98, No. 53, (98-MBL-5), pages 63-70

3. Japanese Unexamined Patent Application Publication H11-134407

Remarks

Cited Literature 1-2 describe the arrangement of a server (center) and navigation device which transmit and receive necessary travel related information as desired, based on a created travel plan.

Furthermore, the arrangement whereby the time discrepancy of the actual travel in relation to the initial plan is recognized to update the travel plan is already a well known arrangement in navigation devices and the like, as indicated for instance in Cited Literature 3.

Record of Prior Art Literature Search Results

Fields searched - IPC 7th Edition - G06F - 17/60 and others

Prior Art Literature

Japanese Unexamined Patent Application Publication H9-36798  
(Arrangement whereby travel plan is created and used via a server on a mobile terminal)

Keiko Kumaya, Makoto Isaji and Katsumi Kishida: "Automobile-oriented information distribution system MOCHA-Navi," NTT R&D, Telecommunications Association, 10 April 1999, Vol. 48, No. 4, pages 383-390

(Same as aforementioned Cited Literature 2)  
Hitachi Hyoron, Vol. 82, No. 9 (September 2000)  
Makoto Shiotani et al. "A service platform linking mobile people to an information society," pages 17-20

(The example configuration of an application on a mobile terminal on page 20, Figure 5, etc., can be referred to.)

This Record of Prior Art Literature Search Results does not constitute a reason for rejection."

Applicant's statements regarding the Japanese Office Action are based on a partial translation that Applicant's representative obtained. These statements should in no way be considered as an agreement by Applicant with, or an admission of, what is asserted in the Japanese Office Action.

Applicant respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

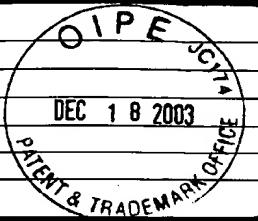
Respectfully submitted,

December 18, 2003  
Date

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: December 18, 2003 <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b> Application Number: 09/832,926 Filing Date: 04/12/2001 First Named Inventor: Yushi NIWA Group Art Unit: 2166 Examiner Name: Unknown Attorney Docket Number: 072982-0219	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A1	JP	9-36798			02/07/1997		X
	A2	JP	11-134407			05/21/1999		X

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>6</sup>
	A3	SEISHI TSUKADA et al., "Mocha: Mobility-Oriented Contents Hosting Architecture Concept, Structure and Service", 1998, pages 63-70.			
	A4	KEIKO KUMAGAI et al., "Mocha: Mobility-Oriented Contents Hosting Architecture Concept, Structure and Service for Mobile User", 1999, pages 383-390.			
	A5	MAKOTO SHIOYA et al., " ITS Service Platform Connecting Mobile Users to Information Based World", 2000, pages 17-20.			

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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.